

&+†@Đ,KH VLJQLQJ RIILFHU†V DUHĐRĐILĐHMSRQVLELOLW\ E\  
E\ YLUW7XĐORV \$)RWK% 3ROLF\ RU LQ WKH FDVH RI D

	GHOHWH WKDVOĐRWKHHVLZKR SURYDVOĐRQWKH GH	
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7KH FRQWUDFW LV ZLWKLQ WKH VLXQĐĐU DXWKRULW\ OLPL  
DUWLFOHĐEORV \$IRW% 3ROLF\ IRU WKH VLJQLQJ RIILĐMU R  
EHHQ JLYHQ E\ WKH VLJQLQJ RIILFHUĐW K  
SULRU WR WKH HQG RI WKHLU FROOLĐF  
RIILFHU RU GHOHJDWH KDV UHFHLYHG H  
SRVLWLRQ DW D KLJKHU OHYHO RHĐRWK  
WR H[HUFLVH WKHLU VL

34'\$dÀpn•ù P<6Léß #T"dÀpn•ù T L6UQLĐĐĐ@yK.RyM†X@o 6IR†X@oD)•\$y•u-iG•D •\$y•nôw •\$• nō (•\$y~ nōS  
UH

	JXODWLRQ DQG SURFHGXUH KDV EHHQ REWDLQ			
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7KH FRQWUDFW FRPSOLHV ZLWK OHJLVODWLRQ FROOHFWL  
SHUVRQQHO SROLF\ DQG RWKHU DSSOLFDEOH SROLFLHV Đ  
DGGUHVVG DQG DQ\ LQVXUDQFH UHTXLUHPHQWV KDYH  
E

	\ 5LVN \$GĐLQĐRUQVXUDQFH LI DSSOLFDEOH			
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	7KH FRQWUDFW LV EHLQJ DXWKRULJHG EDVHG RQ DSSURYH DOORFDWLRQV HQDEOLQJ H[SHQVHV RU UHYHQXHV UHODWH FRQWUDFW RQ EHKDOI RI WKH 8QLYHUVLW			
	7KH 8QLYHUVLW\ LV DEOH WR PHHW LWV REOLJD WKH FRQWUDFW DQG WKDW WKH RWKHU SDUW\ I LWVJRWORQV			
	\$Q\ OHJDO UHYLHZ KDV RFFXUUHG LIQ DFFRUGDQFH ZLWK D WKH 3ROLF			
	, IDSSOLFDEOH DQ\ UHTXLUHPHQWGRU PDWFKLQJ IXQGLQJ UHVRXUFHV KDV EHHQ DSSURYHG EDVHG RQ SURFHGXUHV C E\ WKH 3URYRVW DQG 9LFH 3ULQFLSDO \$FDGHPLF ZLWK V XQGHUVWDQGLQJ WKDW %RDUG DSSURYDO LV UHTXLUHG ZK UHTXLUHPHQW IRU PDWFKLQJ IXQGLQJ RU LQ NLQG UHVRXU PLOORQ			

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